





European Innovations for a Sustainable Management of Albanian Territories, Rural Areas and Agriculture: Instruments, policies, strategies

# Module description D3.3 v.2

# Module 6 Territorial administration, planning and governance

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#### 1. Description of the module - objectives and procedures

#### 1.1. OBJECTIVES OF THE MODULE

This module aims to highlight:

- 1. The role of administrative reforms in consolidating good territorial governance; and the role of local and regional authorities as new organizational and spatial- geographical units.
- 2. The need to combine and articulate various sectoral policies at territorial level.
- 3. Structural, socio-economic and environmental changes in rural space in the light of sustainability.
- 4. Changes in planning and implementation processes of spatial and development policies in the context of decentralization as well as the transformation of public action from state-led into territorial action (bottom-up processes).
- 5. Understand the spatial planning instruments at local, regional and local levels.

#### 1.2. LEARNING OUTCOMES

A successful completion of this module should enable students to:

- Understand the institutional framework of spatial planning and the relative territorial/administrative reforms in Europe and Albania.
- Critically assess the articulation between the different levels in territorial policies (European, national, regional and local) and the role of institutions and rural/ local actors in shaping and implementing spatial planning and environmental policies.
- Understand the new planning instruments introduced at all levels of territorial governance through the administrative reform in Albania e.g. National Spatial Plan, General Local Plans etc.
- To determine the range of information and interpretation required for planning rural space development based on General Local Plans, the main territorial development tool of local authorities.





#### 1.3. DESCRIPTION OF THE MODULE

In this module the students will find information on the following issues (a short explanation for each topic that is going to be discussed):

#### I. Introduction: Theory and Concepts.

- Topic 1 Introduction to Geography and Space
- Topic 2 Rural restructuring and new challenges for sustainable rural development and planning

#### II. The European context of territorial governance and spatial planning

- Topic 3 Territorial Administration and Spatial Planning
- Topic 4 Sustainable planning and governance in rural areas
- Topic 5 The institutional framework of European territorial policies
- Topic 6 Territorial reforms in Europe

#### III. Administrative and territorial reform in Albania: institutions, local actors and practice

#### - Understanding the Albanian context

- Topic 7 Administrative and territorial reform in Albania
- Topic 8 The current local government context in Albania
- Topic 9 Territorial planning situation of Albania

#### -How to prepare general plans at national and local levels

- Topic 10 –Guidelines on Preparation and Implementation of General National Spatial Plan
- Topic 11 Guidelines on Preparation and Implementation of General Local Plans
- Topic 12 Analysis of Implementation of a specific General Local Plan (representative case from north of Albania)
- Topic 13 Analysis of Implementation of a specific General Local Plan (representative case from central of Albania)
- Topic 14 Analysis of Implementation of a specific General Local Plan (representative case from south of Albania)





#### 1.4. PREREQUISITES

In order to complete the module successfully, participants are required to have basic knowledge on state structure, function and its institutions, as well as public policies.

#### 1.5. STUDENTS OBLIGATIONS AND THE EVALUATION METHOD:

The student will be evaluated for the work he/she has provided during the whole semester and from a final exam as it is explained on the table below:

Nb.	(the evaluation grid is only indicative, the pair of lecturers will decide of the final module evaluation grid)	Evaluation in %	Maximal amount
1	Participation to teaching hours	%	10
2	Mid-term exams	%	0
3	Individual research work	%	50
4	Final exam (written)	%	40

#### 1.6. REQUESTS TO THE STUDENT

- A. Instrumental skills
- B. Systemic skills





#### 1.7. EVALUATION OF LEARNING (calculation of ECTS)

Nb.	Learning elements	Work (hours)	
1	Learning elements (in the institution)		
	Theoretical class	30	
	Practical class	20	
	Individual/ team research project	25	
	Midterm exams		
	Total I	75	
II	Individual work from the student		
1	Individual work	70	
2	Preparation for the exams	5	
	Total II	75	
	Total (I+II)	150/250	
	Numbers of ECTS	9/10	

#### 2. Calendar plan of the module





Week	Theoretical	Basic teaching literature*
1	Topic 1 - Introduction to Geography	Introduction: Theory and Concepts
	and Space	• Gregory, D., Johnston, R., Pratt, G., Watts, M., & Whatmore S. (2009). <i>The Dictionary of Human Geography</i> , UK: Willey-
2	Topic 2 - Rural restructuring and new	Blackwell Publications
	challenges for sustainable rural	• Woods, M. (2005). Rural Geography: Processes, Responses and
	development and planning	Experiences in Rural Restructuring. London: SAGE Publications Ltd., pp. 5-23; 30-124
3	Topic 3 - Territorial Administration and Spatial Planning	The European context of Territorial governance and Spatial Planning
4	Topic 4 - Sustainable planning and	European Commission (1999). European Spatial Development
	governance in rural areas	Perspective. Towards Balanced and Sustainable Development of the Territory of the EU.
5	Topic 5 - The institutional framework of European territorial policies	https://ec.europa.eu/regional_policy/sources/docoffic/official/reports/pdf/sum_en.pdf
6	Topic 6 - Territorial reforms in Europe	<ul> <li>Council of Europe Conference of Ministers responsible for Spatial/ Regional Planning (2000). Guiding principles for the Sustainable spatial Development of the European Continent – SDEC, Strasbourg: Council of Europe: Strasbourg. http://www.mdrap.ro/ documente/dezvoltare_teritoriala/documente_strategice/Sustainable%20Spatial%20De_velopment.pdf</li> <li>Council of Europe Conference of Ministers responsible for Spatial/ Regional Planning (2007). Spatial development glossary. European Conference of Ministers responsible for Spatial/ Regional Planning (CEMAT), Territory and landscape, No. 2. Council of Europe: Strasbourg. http://www.ectp-ceu.eu/images/stories/Glossary-CEMAT/Glossary-French.pdf</li> <li>United Nations. (2017). New Urban Agenda. Quito: Habitat III Secretariat</li> <li>EU (2011). Territorial Agenda of the European Union 2020 - Towards an Inclusive, Smart and Sustainable Europe of Diverse Regions, https://ec.europa.eu/regional_policy/en/information/publicati ons/communications/2011/territorial-agenda-of-the-european-union-2020</li> </ul>
		• EU (2016). Supporting decentralisation, local governance and local development through a territorial approach, Tools and
7	Topic 7 - Administrative and	Methods Series, Reference Document No 23, p.26  Administrative and territorial planning reforms in Albania
,	territorial reform in Albania	• IDRA Research & Consulting & HDPC (2020). Local Governance
8	Topic 8 - The current local	Mapping in Albania 2020.
3	government context in Albania	https://idracompany.com/files/Local%20Governance%20Mapping%20in%20Albania.pdf
9	Topic 9 - Territorial planning situation	MPCV (2014). Administrative and Territorial Reform- Analysis of





Week	Theoretical	Basic teaching literature*	
Week	of Albania  Theoretical and Practical class	https://info.undp.org/docs/pdc/Documents/ALB /Analysis%20of%20the%20Local%20Government%20Situation% 20in%20Albania%20Executive%20summary.pdf  Toska,M. & Bejko,A (2018). Territorial Administrative Reform and the Decentralization Strategy – Progress towards the Desired Objectives after a Governing Mandate. Annual Review of Territorial Governance in Albania, I, 69-83. https://doi.org/10.32034/CP-TGAR-I01-05  Basic teaching literature	
10	Topic 10 - Guidelines on Preparation and Implementation of General National Spatial Plan	<ul> <li>L. 9. July 2015 no. 73</li> <li>L. 23 March 2017 no.28</li> <li>L. 07 April 2019 no. 42</li> </ul>	
11	Topic 11 - Guidelines on Preparation and Implementation of General Local Plans		
12	Topic 12 - Analysis of Implementation of a specific General Local Plan (representative case from north of Albania)	<ul> <li>Practical classes based on case studies (both desk research and fieldwork) and tutorial workshops</li> <li>Team research projects/ group projects</li> <li>Synthesis/ presentations of team projects</li> </ul>	
13	Topic 13 - Analysis of Implementation of a specific General Local Plan (representative case from central of Albania)		
14	Topic 14 - Analysis of Implementation of a specific General Local Plan (representative case from south of Albania)		

<sup>\*</sup> Associated teaching material is developed in separate .doc files for every module and a synthesis of all topics of module 6 is presented in the syllabus booklet (syllabus\_booklet.pdf)





#### 3. Content of the module

The module provides an overall introduction to the notions of space, place, territory, sustainable territorial development and rural restructuring processes and explains how these notions interrelate to spatial planning policies, territorial administration and governance. In this module students engage in two components: theory as well as practical experience of implementation tools for territorial development in Albania. The aim is to provide students with knowledge of the variety of spatial plans conducted in the field and the skills required to provide the base for developing spatial planning policies. Students will be asked to produce a project-based assignment in order to become acquainted with the importance of: a) local authorities in relation to rural development and b) urban and regional planning as an evidence base for spatial planning and territorial governance decisions at multiple scales.

#### **Topic 1 - Geography and Space**

This topic introduces some main key concepts of Geography, Spatial and Regional studies such as space, place, territory, and sustainable territorial development in order to understand social organization of space in different parts of the Earth ranging from the local to the global at a given time; i.e. how people use and alter their environment and in which way environment, including space and places impacts on people and their everyday life. In doing this, the topic will allow students to become familiar with theoretical and methodological approaches regarding new principles and criteria for the sustainable planning of human communities (geographical mobility, territorial integration, promotion of place identity) and a democratic territorial governance within territorial administration policies.

This topic includes definitions of key concepts and terms in Geography:

- Space
- Place
- The Five Themes of Geography (location, place, human/environment interaction, movement, regions)
- Territory/territoriality





#### Topic 2 - Rural restructuring and new challenges for sustainable rural development and planning

This topic introduces to rural restructuring processes and the changing countryside (social, cultural, demographic, and environmental change) after World War II and in particular during the recent decades of post-productivist transition. How much "agricultural" remains the countryside nowadays in terms of employment and incomes? How do environment and climate change impact on habitats, farming and rural activities? In the wake of social and economic restructuring, what types of conflicts arise regarding the control and exploitation of territorial resources (land-use conflicts, economic, environmental, social, and cultural). Which is the role of counter-urbanites and emerging non-agricultural stakeholders in local political leadership and governance patterns? The topic will provide an introduction to major conceptual approaches in rural change in terms of both processes and practices that trigger change as well as of relevant multiple responses (economic, political, socio-cultural) under the lens of sustainable rural development and planning.

The main chapters include:

- Key concepts of rural restructuring processes in the post-productivist transition;
   Emerging conflicts and challenges
- Responses to rural change and new strategies for rural development and planning;
   Shifting from state to 'bottom-up' procedures

#### **Topic 3 - Territorial Administration and Spatial Planning**

The aim of this topic is to familiarize students with the terms of spatial planning and its relation to territorial administration and governance. What are the links between space, administrative-spatial structure, planning and territorial governance in view of the contemporary challenges of decentralization and centralization, what is the role of government and the shift to governance, and what is the role of participation in a bottom-up approach and the process of democratization.

The aim is to look at the links between the process of local government reform and the administrative-territorial structures and understand the process of transferring powers and resources to local authorities in relation to Urban and regional planning at multiple scales (i.e. the neighborhood, city, regional, inter-regional, and national levels).

The main chapters of this topic include:

- Spatial planning and Territorial administration
  - Introducing the concept of spatial planning
  - Types of Spatial Planning Instruments and linkages Spatial Planning instruments and state organization
  - o Decentralisation reforms and territorial administration and governance
- A territorial approach of bottom-up planning
  - o Advocacy Planning vs Rational planning model: towards a participatory approach of planning
  - o Decentralization and local territorial development
  - o Territorial typologies and statistical areas
  - The role of local government and local self-government

Keywords: territorial administration, spatial planning, local self-governement, advocacy planning, participatory planning





#### Topic 4 - Sustainable planning and governance in rural areas

This topic describes the changing rural areas and the evolution towards an integrated planning approach. It explains the power of the relationship among rural actors and the sustainability aspects in urban-rural partnership. It is trying to link land-use planning with rural development by explaining how to plan and draw rural space development based on integrated planning approach for sustainable management of land resources and on an interactive partnership between governments and people (bottom-up procedures).

The main chapters include:

- Changing rural areas
  - Rural-urban typologies
  - o Rural, islands and mountainous and remote areas
  - o Sustainable rural-urban partnerships
  - o Bottom-up or endogenous process of local development in rural areas
- Cultural and natural diversity of rural areas
  - Rural space as cultural heritage
  - Rural space as natural heritage
- Planning and Management of Land Resources in the Rural Development Context

Keywords: rural-urban partnerships, bottom-up endogenous development, rural heritage, natural protected areas

#### Topic 5 – The institutional frameworkof European territorial policies

Introducing environmental and spatial planning policies in Europe and their evolution. Analyzing the European framework for the balanced and sustainable spatial development. Combination and articulation of various sectoral policies at territorial level.

This topic contains information about:

- Brief history of Spatial planning in Europe
- The European Spatial Development Perspectives" ESDP
  - 3-policy goals
  - 13-policy aims/objectives
  - o 60-policy options

#### **Topic 6 - Territorial reforms in Europe**

This topic studies the evolution of institutional framework and territorial/administrative reforms in Europe. Its main aim is to understand reforms of the first tier of government (municipal/communal level) as a feature of public administration. Case studies / examples from European countries and lessons learned. Greece will be used as an example of a neighbor country of the South Balkans that is experiencing territorial amalgamation reforms among several countries of Europe in order to see comparable aspects with the Albanian case and lessons learned.

- Territorial reforms in Europe
  - Reorganization of 1st tier local authorities in Europe (Change of the number of municipalities, size comparisons andother statistics concerning sub-national governments before and after reforms)





- The case of Greece
  - Main geographical features and spatial structure of the country
  - o Administrative System and Territorial Governance
  - Lesson learned

#### Topic 7 - Administrative and territorial reform in Albania

Administrative and territorial reform in Albania: Brief history, strategy, objectives and implementation processes. This topic aims to understand the current territorial organisation of Albania, its subnational levels of governments and analyze the changes in the number of local government units (form 373 to 61), the effects on population size of local governments and the impact on responsibilities and their economic and administrative performance. This lesson will try to understand the population trends in rural and urban areas and the depopulation of some peripheral regions (emigration to other countries and internal migrations from distant rural regions to big cities) and link this processes with the functional approach of decentralization in Albania.

- History of the Administrative-territorial Organization in Albania (1912-now)
- Mapping size and population trends/local governance mapping in Albania
- The approach of 'Functional areas' in Albania
- Main considerations

#### Topic 8 - The current local government context in Albania

Identification of the new spatial-geographical units and the explanation of the management of territories in Albania. Other decentralization and local/rural development programs in Albania.

This topic explains the main decentralization strategy of the Republic of Albania for the period 2015-2020 (Minister of state and local issues) in terms of the structures of local governance, their functions and responsibilities, inter-governmental relations and institutional capacities.

#### **Topic 9 - Territorial planning situation of Albania**

The articulation between the different levels in policy formulation (European, national, regional and local) combined with the role of institutions and rural/ local actors in shaping and implementing these policies.

The topic explains how the new legal planning reform, is being supported by the Territorial–Administrative Reform of 2015, where government supports technically and financially local municipalities to draft General Local Plans, their main tool for territorial development. We will explain the use of new integrated planning instruments is developed through a cross-sectoral process involving many actors, at all levels of governance e.g. First National Spatial Plan for Albania 2030 (adopted by the government in 2016).

Through the presentation and understanding of the new specific laws for planning and development of the territory, students will familiarize with basic principles, responsibilities and rules for territorial planning in Albania, which are the institutions with planning responsibilities, what are the planning instruments and at which levels.

#### Topic 10 – Guidelines on Preparation and Implementation of General National Spatial Plan

Representatives from ministry in charge and AKPT will be invited to explain spatial planification process at national level in regard to preparation and implementation of General National Plan





through specific case studies. The idea is to get familiar and explain through different maps (urban system, infrastructure system, areas of national importance etc.) of the General National Spatial Plan. Possible guest lectures and workshops with representatives from AKPT will be used to facilitate comprehension of the new planning instruments.

#### **Topic 11 - Guidelines on Preparation and Implementation of General Local Plans**

Representatives from AKPT and local administration will be invited to explain spatial planification process at regional and local level in regard to preparation and implementation of General Local Plans through specific case studies.

### Topic 12 - Analysis of Implementation of a specific General Local Plan (representative case from north of Albania)

Students will work as a team (at least 3 persons per team) by choosing a representative case from north of Albania to analyze, criticize what is included, implemented and applied on the specific GLP. They will also analyze if the GLP include specifications in accordance or not with the EU policies and concepts.

# Topic 13 - Analysis of Implementation of a specific General Local Plan (representative case from central of Albania)

Students will work as a team (at least 3 persons per team) by choosing a representative case from central of Albania to analyze, criticize what is included, implemented and applied on the specific GLP. They will also analyze if the GLP include specifications in accordance or not with the EU policies and concepts

## Topic 14 - Analysis of Implementation of a specific General Local Plan (representative case from south of Albania)

During the last class(es) of the course, students will have a last short interactive assignment. They will split and work in teams (4-5 persons per team) by choosing a specific category (urban system, natural system, agricultural system, water system and infrastructural system) that refers at a document of a specific GLP (e.g. Tirana). The aim of this assignment is to check and critically compare what has been presented in the interpretation-analysis phase, what has been proposed, and in which degree has been actually implemented in this area of intervention. Students will have 15-20 minutes to be prepared and then discuss the problems and proposals. This assignment will help students elaborate their personal practical file.

#### 4. Planning of the practical teaching classes/ Elaboration of the personal file

The main scope of the practical classes is to learn directly from the most current areas of practice regarding spatial planning and territorial development in all geographical levels and administrative tiers. As part of the educational process, theories, methods and practical guidelines are combined in order to address the synthetic character of spatial planning and development issues. A specific focus is given on the implementation and evaluation of General Local Plans in Albania. Students will be asked to analyse various perspectives of specific General Local Plans and will try to understand





spatial problems and the design and implementation processes of spatial interventions at the urban and regional levels in preparation for the personal file.

The part of practical teaching is considered an important arena for learning and it emphasizes on connections between theory and experience. It consists of small interactive assignments that are done and presented during the class (mostly in teams) and are supervised by the professor.

Week	Topics	Theoretical lectures	Associated pedagogic material
10	Topic 10	Guidelines on Preparation and Implementation of General National Spatial Plan	Topics 10-14.doc
11	Topic 11	Guidelines on Preparation and Implementation of General Local Plans	
12	Topic 12	Analysis of Implementation of a specific General Local Plan (representative case from north of Albania)	
13	Topic 13	Analysis of Implementation of a specific General Local Plan (representative case from central of Albania)	
14	Topic 14	Analysis of Implementation of a specific General Local Plan (representative case from south of Albania)	

#### 5. Individual research project

Students will work individually in order to develop their planning skills. They are expected to choose representative cases from municipalities in the north, central and south parts of Albania in order to analyze and criticize what is included, implemented and applied on specific General Local Plans. Each student will choose one specific category of intervention: urban system, natural system, agricultural system, water system and infrastructural system. Their analysis should give emphasis on their professional area of interest. They will be asked to critically analyse the planning process by checking the specific proposal objectives and instruments that apply in their topic area.

The aim is to check and critically compare what has been presented in the interpretation-analysis phase, what has been proposed, and in which degree has been actually implemented in this area of intervention. They will also analyze if the General Local Plans include specifications in accordance or not with the EU policies and concepts. Case studies are based on both desk research and fieldwork and tutorial workshops, will be discussed during practical classes as a preparation for the personal file and will be finally individually submitted and evaluated in the end of the module.