

MASTER European Innovations for a Sustainable Management of Albanian
Territories, Rural Areas and Agriculture: Instruments, policies, strategies

Module description

D3.3 v.2

Module 3

Rural development policies

September 2021

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The European Commission's support for the production of this publication does not constitute an endorsement of the contents, which reflect the views only of the authors, and the Commission cannot be held responsible for any use which may be made of the information contained therein.

1. *Description of the module - objectives, and procedures*

1.1. OBJECTIVES OF THE MODULE

The main objective of this module is to provide theoretical and practical information on sustainable rural development policies in European Union (EU) and Albania.

The specific objectives of this module may be summarized as follows:

- ✓ The knowing of sustainable rural development concept, history and application.
- ✓ The structure elements and application of rural development policies in EU and in Albania.
- ✓ The evaluation and critical analysis of application of rural development policies.
- ✓ The impact assessment and future trend of rural development policies.

1.2. LEARNING OUTCOMES

At the end of the module, students will be able to:

- ✓ Assess the importance and the role of rural development policy in Albanian progress;
- ✓ Distinguish the importance of Common Agricultural Policy and the priorities of RDP in Europe;
- ✓ To understand the differences that institutions and administrative framework play on presenting the requirements of RD measures;
- ✓ Identifying the key elements that the evaluation process and the monitoring plan considers evaluating the coherence and importance of the policy, performed by relevant institutions;
- ✓ To recognize the impact assessment procedure, guidelines, legal framework, and impact indicators of different applied RD measures;
- ✓ To be able to perform and adapt policies on different disciplines of rural development policy in compliancy with EU-CAP (by being performed in a case study).

1.3. DESCRIPTION OF THE MODULE

In this module the students will find information on the following issues (a short explanation for each topic that is going to be discussed):

I. History and role of rural development policies

Topic 1. Development indicators, EU and Albanian Legislation and Relevant Institutions on Agriculture, Rural Development and Environment.

Topic 2. Chronology and Framework of Common Agricultural Policy (CAP) in EU

Topic 3. Role and development of Rural Development Policy (RDP) within EU-CAP; the policy framework and priority.

Topic 4. Cross Compliance (CC) measures within the CAP.

Topic 5. Market government and policy instruments.

II. Policy measures, relevant Institutions and beneficiary on rural development policies

Topic 6. Measures of 2nd pillar and priorities of RDP in EU.

Topic 7. Agri-environmental measures and sustainable rural development.

III. Application procedure and project assessment on RDP

Topic 8. Institutional, administrative framework and requirements of RD measures.

Topic 9. Evaluation and monitoring of rural development plan and measures, instruments of evaluation.

Topic 10. Impact assessment of rural development measures.

1.4. PREREQUISITES

The prerequisites that are required to follow the module are:

- ✓ Basic knowledge on macroeconomics, rural development, policy analysis and EU and Albania institutions that are relevant to sustainable rural development.

1.5. STUDENTS OBLIGATIONS AND THE EVALUATION METHOD:

The student will be evaluated for the work he/she has provided during the whole semester and from a final exam as it is explained on the table below:

Nb.	<i>(the evaluation grid is only indicative, the pair of lecturers will decide of the final module evaluation grid)</i>	Evaluation in %	Maximal amount
1	Group research work	%	50
2	Final exam (written)	%	50

1.6. REQUESTS TO THE STUDENT

A. Instrumental skills

B. Systemic skills

1.7. EVALUATION OF LEARNING (calculation of ECTS)

Nb.	Learning elements	Work (hours)
I	<i>Learning elements (in the institution)</i>	
	Theoretical class	30
	Practical class	15
	Individual/ team research project	20
	Midterm exams	10
	Total I	75
II	<i>Individual work from the student</i>	
1	Individual work	70
2	Preparation for the exams	5
	Total II	75
	Total (I+II)	150/250
	Numbers of ECTS	6/10

2. Calendar of the module – adjusted due to Covid-19 restrictions.

No	Topic	Date	Lecturing Format	Lecturer
0	Overview and content of the module + Poster	22-Feb-21	Online - Zoom	Prof. Dr. Reiner Doluschitz Olta Sokoli
1	Development indicators and Albanian Legislation and Relevant Institutions on Agriculture, Rural Development and Environment	23-Feb-21	Online- Google Meet	Prof. Assoc. Etleva Dashi
2	Chronology and Framework of Common Agricultural Policy (CAP) in EU	24-Feb-21	Online- Zoom	Prof. Dr. Reiner Doluschitz
3	Role and development of Rural Development Policy (RDP) within EU-CAP; the policy framework and priority.	25-Feb-21	Zoom	Olta Sokoli
4	Cross Compliance (CC) measures within the CAP	26-Feb-21	Online- Google Meet	Prof. Assoc. Etleva Dashi
5	Market government and policy instruments.	1-Mar-21	Online - Zoom	Olta Sokoli
6	Measures of 2nd pillar and priorities of RDP in EU	2-Mar-21	Pre-Recorded	Olta Sokoli
Poster preparation – Practical Component				
7	Agri-environmental measures and sustainable rural development	3-Mar-21	Online- Zoom	Prof. Dr. Fatbardh Sallaku
Poster preparation – Practical Component				
8	4-Mar-21 Rural Parliament Day Institutional, administrative framework and requirements of RD measures- Free topic Poster preparation – Practical Component			
9 - 10	Evaluation, monitoring and impact assessment of rural development plan and measures, instruments of evaluation	5- Mar-21	Pre-Recorded	Prof. Dr. Reiner Doluschitz
Poster preparation		5-Mar-21	Zoom	Prof. Dr. Reiner Doluschitz Olta Sokoli

3. Content of the module

Topic 1. Development indicators, EU and Albanian Legislation and Relevant Institutions on Agriculture and Rural Development.

In this topic the students will get information about main enforce EU directives and regulations related to agriculture and rural development, as well legislative Albanian framework and responsible institutions for rural development policy and implementation.

Subtopics

1.1. Development indicators

- 1.1.1. Identification of development indicators*
- 1.1.2. Measure instruments in development*
- 1.1.3. Millennium development goals*
- 1.1.4. Sustainable goals 2030*

1.2. EU Policy on Agriculture and Rural Development

- 1.2.1. EU Institutions for developing and implementing RDP*
- 1.2.2. Overview of Albanian Policy on Agriculture and Rural Development*
- 1.2.3. Albanian legislation and relevant institution for developing and implementing RDP*
- 1.2.4. Conclusions*

References

Joseph E. Stiglitz, 2013. Some theoretical aspects of agricultural policies. Oxford Journals, Oxford University Press.

OECD, 2007. Effective Targeting of Agricultural Policies: Best Practices for Policy Design and Implementation, OECD, Paris.

European Commission 2019. Commission Staff Working Document. Albania 2019 Report, Brussels.

https://ec.europa.eu/agriculture/index_en

INTER-SECTORAL RURAL DEVELOPMENT STRATEGY OF ALBANIA, ISRDSA 2007-2013, Prepared by the Ministry of Agriculture, Food and Consumer Protection (MAFCP) 2007.

Council of Ministries (CoM), 2013. Albanian National Strategy for Development and Integration (NSDI), 2014-2020, June 2013. Tirana.

Topic 2. Chronology and Legal Framework of Common Agricultural Policy (CAP)

This topic aims to review Common Agricultural Policy (CAP) in EU (CAP before 2007; CAP 2007-2013; CAP 2014- 2020), application and comparing of different measures related to agriculture, rural

development and protection of environment, financing procedure, respective measurable indicators, advantages and disadvantages of applied measures, as well new CAP towards 2020.

Subtopics

- 2.1. *CAP reforms overview, role and its objectives on RD*
- 2.2. *CAP before 2007*
- 2.3. *CAP 2007-2013*
- 2.4. *CAP towards 2020*
- 2.5. *Financing of the CAP*
- 2.6. *Conclusions*

References

European Commission, DG Agriculture and Rural Development. The CAP towards 2020 - Implementation of the new system of direct payments: http://ec.europa.eu/agriculture/direct-support/direct-payments/docs/implementation-ms-notifications-slides_en.pdf.

EU 1306/2013 - Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy. <http://eur-lex.europa.eu/legal-content/EN/ALL/?uri=celex%3A32013R1306>.

https://ec.europa.eu/info/food-farming-fisheries/key-policies/common-agricultural-policy_en.

European Commission. MEMO/13/621. CAP Reform – an explanation of the main elements.

The Common Agricultural Policy (CAP) and the treaty. Fact Sheets on the European Union – 2015:

<http://www.europarl.europa.eu/factsheets/en/sheet/103/the-common-agricultural-policy-cap-and-the-treaty>.

European Commission (EC), 2011. Common Agricultural Policy towards 2020, Assessment of Alternative Policy Options: https://ec.europa.eu/agriculture/policy-perspectives/impact-assessment/cap-towards-2020_en.

The DOHA Round https://www.wto.org/english/tratop_e/dda_e/dda_e.htm; and https://www.wto.org/english/thewto_e/whatis_e/tif_e/doha1_e.htm

Topic 3. Role of Rural Development Policy (RDP) within EU-CAP, the policy framework and priorities.

During this topic the students will get information about role of applied RDP in EU in the development of agriculture and rural area, improvement of environment and food security; further will be analyzed policy framework and applied priorities topics on agriculture and rural development within CAP.

Subtopics

- 3.1. *Role and objectives of RDP in EU*
- 3.2. *EU policy framework on RD*
- 3.3. *Analysis of EU priorities topics and specific/respective measures on agriculture and rural development*
- 3.4. *Reflections on selected measures*
- 3.5. *Conclusions*

References

https://ec.europa.eu/agriculture/rural-development-2014-2020_en.
https://enrd.ec.europa.eu/policy-in-action/policy-framework_en.
https://enrd.ec.europa.eu/policy-in-action/rural-development-legislation_en.

Topic 4. Cross Compliance (CC) measures within the CAP

In this topic the students will get familiar with concept of Cross Compliance (CC), measures, requirements and application rules, examples of applied measures: Good Agricultural and Environmental Conditions (GAECs) and Statutory Management Requirements (SMRs).

Subtopics:

- 4.1. *What is cross-compliance? How can we get it operational?*
- 4.2. *Cross Compliance rules, measures and guidelines.*
- 4.3. *Rules to protect the environment, animal and plant health, animal welfare, etc.*
- 4.4. *Good agricultural and environmental conditions (GAECs), examples of application.*
- 4.5. *Statutory management requirements (SMRs), examples of application.*
- 4.6. *Conclusions.*

References

Lauterbach-Hemmann R., Referat 616 BMEL. Cross compliance in the Common agricultural policy.

Council Regulation (EC) No 73/2009 of 19 January 2009, establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers: <https://eur-lex.europa.eu/eli/reg/2009/73/oj>.

Regulation (EU) No 1306/2013 Of The European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy: <https://eur-lex.europa.eu/eli/reg/2013/1306/oj>.

SMR 1 Protection of water against pollution caused by nitrates (91/676/EEC); Introduction GAECs and SMRs. Penalties for breaches of Cross Compliance -

Verket j., 2011. Environmental Effects of Cross-Compliance, Editor Torben Söderberg; ISSN 1102-3007 • ISRN SJV-R-10/18-SE • RA11:5eng.

(91/676/ECC) Council Directive 91/676/EEC of 12 December 1991 concerning the protection of waters against pollution caused by nitrates from agricultural sources. <http://eur-lex.europa.eu/legal-content/en/ALL/?uri=CELEX:31991L0676> (retrieved on 19Jul16)

EC No 73/2009, Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmer. <http://eur-lex.europa.eu/legal-content/en/ALL/?uri=CELEX:32009R0073> (retrieved on 19Jul16)

Cross compliance - https://ec.europa.eu/info/food-farming-fisheries/key-policies/common-agricultural-policy/income-support/cross-compliance_en.

Cross compliance: guidance for 2015 - The guide to cross compliance in England 2015 - <https://www.gov.uk/government/publications/cross-compliance-guidance-for-2015>.

Topic 5. Market government and policy instruments

This topic will provide for students' knowledge about applied measures of first pillar of the CAP related to Common Organization Market (CMO) of the agricultural products, CMO examples for fruit, vegetables and dairy sector, fishery sector, etc.

Subtopics:

- 5.1. *CAP instrument and reform*
- 5.2. *First pillar of the CAP: CMO of the agricultural products*
- 5.3. *CMO for fruit and vegetables*
- 5.4. *CMO for milk and dairy products*
- 5.5. *Conclusions*

References

First pillar of the CAP: I-Common organisation of the markets (CMO) in agricultural products: <http://www.europarl.europa.eu/factsheets/en/sheet/108/first-pillar-of-the-cap-i-common-organisation-of-the-markets-cmo-in-agricultural>.

EU 1306/2013 - Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy. <http://eur-lex.europa.eu/legal-content/EN/ALL/?uri=celex%3A32013R1306>.

REGULATION (EU) 2016/791 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 11 May 2016 amending Regulations (EU) No 1308/2013 and (EU) No 1306/2013 as regards the aid scheme for the supply of fruit and vegetables, bananas and milk in educational establishments: <https://eur-lex.europa.eu/eli/reg/2016/791/oj>.

REGULATION (EU) No 261/2012 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 14 March 2012 amending Council Regulation (EC) No 1234/2007 as regards contractual relations in the milk and milk products sector: <https://eur-lex.europa.eu/eli/reg/2012/261/oj>.

Topic 6. Measures of 2nd pillar and priorities of RDP in EU.

This topic will analyze the measures under 2nd pillar of the CAP related to rural development as the introduction of competitiveness, environment, diversification and the quality of life, further will be analyzed priorities of rural development programmes and application fields.

Subtopics:

- 6.1. *Overview of 2nd pillar of the CAP priorities*
- 6.2. *Introduction of competitive*
- 6.3. *ness*
- 6.4. *Agriculture and environment*
- 6.5. *Diversification and the quality of life*
- 6.6. *Priorities of Rural development programmes and fields of applications*
- 6.7. *Conclusions*

References

https://ec.europa.eu/agriculture/rural-development-2014-2020_en.

<https://cohesiondata.ec.europa.eu/funds/eafrd>.

Rural Development Programmes 2014-2020: https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/country-files/common/rdp-list_en.pdf.

THE COMMON AGRICULTURAL POLICY: INVESTING IN RURAL EUROPE:
https://ec.europa.eu/agriculture/sites/agriculture/files/rural-development-2014-2020/country-files/common/rdp-factsheet_en.pdf.

Topic 7. Agri-environment measures and sustainable rural development

During this topic the students will get knowledge about applied measures within CAP related to protection of environment and assuring a sustainable rural development; further offering basic information about LEADER programme as application and requirements.

Subtopics:

- 7.1. *Overview of Agri-environment measures*
- 7.2. *Analysis and impact of Agri-environment measures on sustainable rural development*
 - *Reflection on selected Agri-environment measures*
- 7.3. *Overview of LEADER programme, application and requirements*
- 7.4. *Conclusions*

References

https://ec.europa.eu/agriculture/envir/measures_en.

https://ec.europa.eu/agriculture/rural-development-2014-2020_en.

K. Rama, E. Zhllima and D. Imami 2018. Albania's challenges of implementation of Agri-Environmental Policies in the framework of EU Accession, Tirana 44p.

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD), <https://eur-lex.europa.eu/eli/reg/2005/1698/oj>.

European Commission, 2006. Fact sheet: The Leader approach - a basic guide:
https://ec.europa.eu/agriculture/sites/agriculture/files/publi/fact/leader/2006_en.pdf.

Polluter-Pays-Principle - Avoiding environmental damage:
<https://ec.europa.eu/agriculture/envir/cap#polluter>.

https://ec.europa.eu/regional_policy/en/information/publications/guidelines/2014/guidance-on-community-led-local-development-in-european-structural-and-investment-funds.

https://enrd.ec.europa.eu/leader-clld_en.

Topic 8. Institutional and administrative framework and requirements of RD measures

In this topic the students will be familiar with institutional and administrative framework during application of RD measures, involving and role of stakeholders and beneficiaries in the application process. Further, will get information about application requirements according to the RD measures, legal and administrative criteria, administration of application procedure and relevant institutions. As well as invited professionals to share the experience on specific cases they have worked on.

Subtopics:

- 8.1. *Overview of institutional and administrative framework on implementation of RD projects*
- 8.2. *Role of stakeholders and beneficiaries in the application process*
- 8.3. *Projects application requirements according to the RD measure*
- 8.4. *Legal and administrative criteria on project application*
- 8.5. *Administration of application procedure and relevant institutions*
- 8.6. *Conclusions*

References

https://ec.europa.eu/agriculture/rural-development-2014-2020/legislation_en.

Regulation (EU) No 1305/2013 of The European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD): <https://eur-lex.europa.eu/eli/reg/2013/1305/oj>.

Regulation (EU) No 1306/2013 of The European Parliament and of The Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy: <https://eur-lex.europa.eu/eli/reg/2013/1306/oj>.

Topic 9. Monitoring and evaluation of rural development plan and measures, instruments of evaluation.

This topic will provide information about the evaluation process and criteria of RD measures, necessity of implementation of monitoring plan, instruments of evaluation (*Ex ante, socioeconomic, current/midterm and ex post evaluation*) and relevant institutions or units for evaluation and monitoring.

Subtopics:

- 9.1. *Overview of evaluation process of RD projects, procedure, criteria and relevant institutions*
- 9.2. *Guidelines on the Evaluation of the Rural Development Programmes*
- 9.3. *Developing and implementation of monitoring plan of RD projects and relevant institutions*
- 9.4. *Instruments of evaluation (Ex ante, socioeconomic, current/midterm and ex post evaluation) and relevant institutions*
- 9.5. *Conclusions*

References

European Commission, 2015. Synthesis of Ex Ante Evaluations of Rural Development Programmes 2014 – 2020.

OECD (2009). *Methods to Monitor and Evaluate the Impacts of Agricultural Policies on Rural Development*, OECD, Paris.

Zhllima, E., & Gjeci, G. 2017. Albania: agricultural policy development and assessment. In T. Volk, E. Erjavec, P. Ciaian, & S. Gomez y Paloma (Eds.), *Monitoring of agricultural policy developments in the Western Balkan countries*.

<http://enrd.ec.europa.eu/evaluation>.

Topic 10. Impact assessment of rural development measures.

In this topic will be analyzed impact assessment procedure, guidelines, legal framework, and impact indicators of different applied RD measures, finding and recommendations, relevant institutions or units for impact assessment and reporting.

Subtopics:

- 10.1 *Overview of impact assessment of applied RD project*
- 10.2 *Guidelines of impact assessment and Impact indicators*
- 10.3 *Procedure of impact assessment and relevant institutions*
- 10.4 *Preparation of evaluation and reporting of RDP results*
- 10.5 *Demonstration of selected impact indication and respective data collection/sources*
- 10.6 *Relevant institutions for impact assessment and reporting*

References

World Bank Group (2016). *Impact Evaluation in Practice, Second Edition*.

Impact assessment. http://ec.europa.eu/agriculture/analysis/perspec/cap-2020/index_en.htm.

European Commission Directorate-General for Agriculture And Rural Development Directorate L. Economic analysis, perspectives and evaluations

Impact Indicators for the CAP Post 2013

Regulation (EU) No 1305/2013 Of The European Parliament and of The Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005. Official Journal of the European Union, 2013.

European Commission, 2015. *The Monitoring and Evaluation Framework for the Common Agricultural Policy 2014–2020*; Luxembourg: Publications Office of the European Union, 2015.

European Union, 2016. *Guidelines Assessment of RDP Results: How to Prepare for Reporting on Evaluation In 2017*.

European Commission (EC) 2018. Directorate-General for Agriculture and Rural Development – Unit C.4 (2018): *Guidelines. Assessing RDP achievements and impacts in 2019*. Brussels, August 2018

European Commission (EC) 2018. Directorate-General for Agriculture and Rural Development – Unit C.4 (2018): *Guidelines. Assessing RDP achievements and impacts in 2019. PART III – Fiches for answering the common evaluation questions 22 – 30*. Brussels, August 2018

European Commission (EC) 2018. Directorate-General for Agriculture and Rural Development – Unit C.4 (2018): Guidelines. Assessing RDP Achievements and Impacts in 2019. Part IV - Technical Annex. Brussels, August 2018.

European Communities, 2009. Guidelines on the Mid-Term Evaluation of the Rural Development Programmes.

4. Individual or paired research project

- The design of a scientific Poster (Poster 50% = 50 points)
- Based on the topic preferences to be divided in pairs or decide to work individually
- Adjustment of topics to their field of interest interlinked with RDP
- Every pair should submit a poster and present it in front of the class-to increase the discussion.

During the work should be kept in mind that the design of the poster consists on the following main criteria:

- Content and Statements
- Design and Implementation
- Technical and aesthetic
- Comprehensibility and originality